

**Transfer Instructions and Forms****The following are instructions and forms needed to transfer shares/units**

1. The transferor is the entity that is the current owner; the transferee is the entity to which the shares are to be transferred.
2. All transferor and transferee signatures must be guaranteed by a member of an approved Signature Guarantee Medallion Program.
3. If the transferee is an entity other than an individual (e.g., a trust, corporation, limited partnership, general partnership or limited liability company), please enclose a copy of the trust agreement, articles of incorporation, or other information pertinent to your type of entity.
4. If the transfer is due to death, please enclose a copy of the death certificate. If there is an executor of the estate, please enclose a copy of the Letters of Administration or court appointment of the executor dated within 90 days of the submission of the transfer forms.
5. If the transfer is due to a divorce, please enclose relevant pages of the divorce decree.
6. If the account involves a custodian, forward this form to the custodian for its signature and Medallion Stamp Guarantee (MSG) with instructions to return it to the Transfer Agent as indicated below
7. Original Medallion Signature Guarantees required.
8. Please return completed forms to:

**REGULAR MAIL ADDRESS:**

Cantor Fitzgerald  
c/o DST Systems, Inc.  
PO Box 219206  
Kansas City, MO 64121-9206

**OVERNIGHT ADDRESS:**

Cantor Fitzgerald  
c/o DST Systems, Inc.  
430 W. 7th Street, Suite #219206  
Kansas City, MO 64105

*For questions please contact our Call Center at (855) 9-CANTOR, option 2.*

## 1. TRANSFEROR/CURRENT OWNER INFORMATION

(If Custodial, provide beneficial owner information in Section 1 and Custodial information in Section 2)

Offering/Program Name		Account Number	
Name of Transferor/Current Owner		Name of Joint Transferor/Current Owner	
Address	City	State	Zip
SSN/Tax Payer ID	Date of Birth	Phone Number	

## 2. CUSTODIAN INFORMATION

Name of Custodian	Custodian Tax ID
Address	City State Zip
Custodian Account Number	Phone Number

## 3. TRANSFER INFORMATION (Some transfers will require additional documentation)

### A) Amount to Transfer:

- ☐ All shares to be transferred  
☐ Partial Transfer of \$ \_\_\_\_\_ **OR** \_\_\_\_\_ Shares

### B) Reason for Transfer:

- |   |   |
|---|---|
| <input type="checkbox"/> Re-Registration (Name change, individual to joint, trust, etc.)<br><input type="checkbox"/> Death<br><input type="checkbox"/> Gift | <input type="checkbox"/> Sale (\$ _____ per share)<br><input type="checkbox"/> Other (provide details) _____<br>_____ |
|---|---|

## 4. TRANSFEROR/CURRENT OWNER SIGNATURES

The Transferor declares that the information supplied herein, including the representations and warranties set forth on page 1 is true and correct and may be relied upon by the Company and that all Transferors acting in a representative capacity for a corporation, partnership, trust or other entity has full authority to execute this Transfer and Assignment in such capacity.

Signature of Transferor/Current Owner or TTEE	Signature of Co-Transferor/Current Owner or TTEE
Signature of Custodian	Title of Signor
<div style="border: 1px solid black; height: 100px; width: 100%;"></div> Affix Medallion Signature Guarantee Stamp Here – Account Owner	<div style="border: 1px solid black; height: 100px; width: 100%;"></div> Affix Medallion Signature Guarantee Stamp Here – Account Owner

## 5. TRANSFEREE/NEW OWNER INFORMATION

(Please complete section A and B. If custodial, provide custodial information in Section 6.)

### A) OWNERSHIP TYPE:

#### Non-Qualified Ownership

- ☐ Individual Ownership
- ☐ Joint Tenants with Rights of Survivorship – All parties must sign
- ☐ Tenants in Common – All parties must sign
- ☐ Corporate Ownership – Authorized signature required  
Include copy of corporate resolution: S or C Corp
- ☐ Partnership Ownership – Authorized signature required
- ☐ Qualified Pension Plan and Profit-Sharing Plan (non-custodial)
- ☐ LLCs
- ☐ Taxable Trust – Authorized signatures required  
Provide Certification of Trust
- ☐ Tax – Exempt Trust – Authorized signatures required  
Provide Certification of Trust
- ☐ Uniform Gift to Minors Act – Custodian signature required State of: \_\_\_\_\_ Custodian for: \_\_\_\_\_
- ☐ Other (Specify): \_\_\_\_\_

#### Qualified Ownership

- ☐ Traditional IRA – Custodian & Owner signature required
- ☐ ROTH IRA – Custodian & Owner signature required
- ☐ Deceased IRA – Custodian signature required  
Name of Deceased: \_\_\_\_\_  
Date of Death \_\_\_\_/\_\_\_\_/\_\_\_\_
- ☐ Simplified Employee Pension/Trust (SEP)
- ☐ Pension or Profit Sharing Plan – Custodian signature required
- ☐ Other (Specify): \_\_\_\_\_

### B) TRANSFEREE/NEW OWNER INFORMATION:

Entity Name _____		Date of Formation _____	
SSN/Tax Payer ID of Transferee/New Owner _____		Date of Birth of Transferee/New Owner _____	
SSN/Tax Payer ID of Joint Transferee/New Owner _____		Date of Birth of Joint Transferee/New Owner _____	
Name of Transferee/New Owner _____		Name of Joint Transferee/New Owner _____	
Address _____		City _____	State _____ Zip _____
SSN/Tax Payer ID _____	Date of Birth ____/____/____	Phone Number _____	
Email _____			

## 6. CUSTODIAN INFORMATION\*

Name of Custodian _____		Custodian Tax ID _____	
Address _____		City _____	State _____ Zip _____
Custodian Account Number _____		Phone Number _____	

\* If the account involves a custodian, forward this form to the custodian for it's signature and Medallion Stamp Guarantee with instructions to return it to the Transfer Agent as indicated at the end of the form.